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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of	)
Lutz Neubauer et al.	)
on CARD-HOLDING DEVICE IN A	)
CARD-PROCESSING APPARATUS	ý
Serial No.: National Stage Entry of	)
Int'l Appln. No. : PCT/DE2003/003642	)
International Filing Date: 3 November 2003	)
	)
Filed: Simultaneously herewith	) (Docket No. 6400-0043WOUS)

Middletown, Connecticut, May 2, 2005

Mail Stop PCT Commissioner for Patents P.O. BOX 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

#### SIR:

Applicant(s) submits herewith Form PTO/SB/08A identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b)  ☑ This Information Disclosure Statement is being filed within three months of the filing date of the application, within three months of the date of entry into the national stage of an international application, before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a Request for Continued Examination.
Under 37 CFR 1.97 (c)  This Information Disclosure Statement is being filed <i>after</i> three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but <i>before</i> the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.
<ul> <li>□ A certification as specified in 37 CFR 1.97(e) is set forth below.</li> <li>□ Fee as set forth in 37 CFR 1.17(p) (\$180.00).</li> </ul>
Under 37 CFR 1.97(d)  ☐ This Information Disclosure Statement is being filed after a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.
☐ Fee as set forth in 37 CFR 1.17(i) (\$180.00)
CERTIFICATION (37 CFR 1.97(e))
Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

No item of information contained in this Information Disclosure Statement was cited

in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of

this Statement.

## 10/533633

# JC20 Rec'd PC TO 02 MAY 2005



Copies of the U.S. patents identified on the attached PTO/SB/08A form are not being submitted at this time. Should the Examiner require said U.S. patent copies, Applicants respectfully request the Examiner contact Applicant's Representative at the number listed below.

Applicant believes that no fees are due with the submission of this Information Disclosure Statement. If it is determined that any charges are in fact incurred with respect to this Information Disclosure Statement, they may be charged to Deposit Account No. 503342 maintained by Applicants' attorneys.

Respectfully submitted,

Richard R. Michaud

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Tel: (860) 632-7200 Fax: (860) 632-8269 JC20 Rec'd PCT/PTO 02 MAY 2005,

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known
Application Number 10/533633

Filing Date
First Named Inventor Lutz Neubauer
Art Unit

(Use as many sheets as necessary)

Examiner Name

Sheet 1 of 1 Attorney Docket Number 6400-0043WOUS

	U. S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
		DE 195 35 787 A1	03-28-1996	Sankyo Seiki		
		EP 0 745 949 A	12-04-1996	Blaupunkt		
		DD 157 944 A	12-15-1982	Robotron		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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